



**RULE 13 STORM WATER QUALITY  
MANAGEMENT PLAN (SWQMP) –  
PART C: PROGRAM IMPLEMENTATION CERTIFICATION  
CHECKLIST**

State Form 51280 (R4 / 4-08)  
INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT

**For questions regarding this form, contact:**  
IDEM – Rule 13 Coordinator  
100 North Senate Avenue, Rm 1255  
MC 65-42  
Indianapolis, IN 46204-2251  
Phone: (317) 234-1601 or  
(800) 451-6027, ext. 41601 (within Indiana)  
Web Access:  
<http://www.in.gov/idem> (Search for Stormwater)

- NOTE:**
- This form must be used for compliance with a general NPDES permit pursuant to 327 IAC 15-13.
  - Submit this completed form with a complete “SWQMP – Part C: Program Implementation” in accordance with 327 IAC 15-13-8.
  - Return this completed and signed form, and any required addenda by mail to the IDEM Rule 13 Coordinator at the address listed in the box on the upper-right.

**PART A: SWQMP CERTIFICATION CHECKLIST**

▶ Please check the appropriate box when the requirements for each numbered item have been met, or check “NA” if an item is not applicable. For some of the numbered items, the requirements must be met and “not applicable” is not provided as an option.

<b>X</b>	<b>NA</b>	<b>ITEM</b>
<input type="checkbox"/>		1. SWQMP – Part C: Program Implementation submitted within 1 year from the submission of the NOI letter or the expiration date of the previous 5-year permit term.
<input type="checkbox"/>	<input type="checkbox"/>	2. Approved TMDL established for any MS4 discharge receiving water.
<input type="checkbox"/>	<input type="checkbox"/>	* If yes, the SWQMP – Part C includes appropriate modifications to meet the TMDL
<input type="checkbox"/>		3. SWQMP – Part C identifies that the required ordinances or similar regulatory mechanisms will be developed, revised, modified, and/or implemented within two (2) years from the submission of the NOI letter
		4. The SWQMP – Part C contains:
<input type="checkbox"/>		a) An initial evaluation of the storm water program for the MS4 area
<input type="checkbox"/>		* The initial evaluation includes all known structural and nonstructural storm water BMPs
<input type="checkbox"/>		b) A detailed program description for each MCM
<input type="checkbox"/>		c) A timetable for program implementation milestones and SWQMP-Part B conclusions
<input type="checkbox"/>	<input type="checkbox"/>	d) A schedule for on-going receiving water characterization to evaluate BMP effectiveness and receiving water quality
<input type="checkbox"/>		e) A narrative and mapped description of the MS4 area boundaries
<input type="checkbox"/>		*The boundary description includes the specific section(s), or, as appropriate, street name(s)
<input type="checkbox"/>		f) An estimate of the linear feet of MS4, segregated by conveyance type
<input type="checkbox"/>		g) A narrative summary of allowed structural BMP types in new development and redevelopment
<input type="checkbox"/>		h) A summary on structural BMP selection criteria and performance standards
<input type="checkbox"/>		i) A narrative summary of the current and projected storm water budget
<input type="checkbox"/>		j) A narrative summary of measurable goals for each MCM
<input type="checkbox"/>		* Measurable goals relate to an environmental benefit
<input type="checkbox"/>	<input type="checkbox"/>	k) Appropriate, completed state-issued certification forms (only required for the initial 5-year permit term)
<input type="checkbox"/>	<input type="checkbox"/>	i) Public education and outreach MCM
<input type="checkbox"/>	<input type="checkbox"/>	ii) Public participation and involvement MCM
<input type="checkbox"/>	<input type="checkbox"/>	iii) Illicit discharge detection and elimination MCM
<input type="checkbox"/>	<input type="checkbox"/>	iv) Construction site storm water run-off control MCM
<input type="checkbox"/>	<input type="checkbox"/>	v) Postconstruction storm water run-off control MCM (not required until end of second year of permit coverage)
<input type="checkbox"/>	<input type="checkbox"/>	vi) Pollution prevention and good housekeeping for operations MCM
<input type="checkbox"/>		l) A listing of programmatic indicators for each MCM. These indicators include:
<input type="checkbox"/>		i) Number or percentage of citizens that have an awareness of storm water quality issues
<input type="checkbox"/>		ii) Number and description of meetings, training sessions, and events conducted to involve citizens
<input type="checkbox"/>		iii) Number or percentage of citizens that participate in storm water quality improvement projects
<input type="checkbox"/>	<input type="checkbox"/>	iv) Number and location of storm drains marked or cast
<input type="checkbox"/>		v) Estimated or actual linear feet or percentage of MS4 conveyances mapped
<input type="checkbox"/>		vi) Number and location of MS4 area outfalls mapped
<input type="checkbox"/>		vii) Number and location of MS4 area outfalls screened for illicit discharges
<input type="checkbox"/>		viii) Number and location of illicit discharges detected
<input type="checkbox"/>	<input type="checkbox"/>	ix) Number and location of illicit discharges eliminated
<input type="checkbox"/>	<input type="checkbox"/>	x) Number of, and estimated amount of material collected from, HHW collections
<input type="checkbox"/>	<input type="checkbox"/>	xi) Number and location of citizen drop-off centers for automotive fluids

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<b>X</b>	<b>NA</b>	<b>ITEM</b>
<input type="checkbox"/>	<input type="checkbox"/>	xii) Number or percentage of citizens that participate in HHW collections
<input type="checkbox"/>	<input type="checkbox"/>	xiii) Number of construction sites permitted for storm water quality
<input type="checkbox"/>	<input type="checkbox"/>	xiv) Number of construction sites inspected
<input type="checkbox"/>	<input type="checkbox"/>	xv) Number and type of enforcement actions taken against construction site operators
<input type="checkbox"/>	<input type="checkbox"/>	xvi) Number of public informational requests received related to construction sites
<input type="checkbox"/>	<input type="checkbox"/>	xvii) Number, type, and location of structural BMPs installed
<input type="checkbox"/>	<input type="checkbox"/>	xviii) Number, type, and location of structural BMPs inspected
<input type="checkbox"/>	<input type="checkbox"/>	xix) Number, type, and location of structural BMPs maintained, or improved, to function properly
<input type="checkbox"/>	<input type="checkbox"/>	xx) Type and location of nonstructural BMPs utilized
<input type="checkbox"/>	<input type="checkbox"/>	xxi) Estimated acreage or square footage of open space preserved and mapped
<input type="checkbox"/>	<input type="checkbox"/>	xxii) Estimated acreage or square footage of mapped pervious and impervious surfaces
<input type="checkbox"/>	<input type="checkbox"/>	xxiii) Number and location of retail gasoline outlets or municipal, state, federal, or institutional refueling areas with installed BMPs
<input type="checkbox"/>	<input type="checkbox"/>	xxiv) Number and location of entity facilities that have containment for accidental releases
<input type="checkbox"/>	<input type="checkbox"/>	xxv) Estimated acreage or square footage and location where pesticides and fertilizers are applied by the regulated MS4 entity
<input type="checkbox"/>	<input type="checkbox"/>	xxvi) Estimated linear feet or percentage and location of unvegetated swales and ditches that have an appropriately-sized vegetated filter strip
<input type="checkbox"/>	<input type="checkbox"/>	xxvii) Estimated linear feet or percentage and location of MS4 conveyances cleaned or repaired
<input type="checkbox"/>	<input type="checkbox"/>	xxviii) Estimated linear feet or percentage and location of roadside shoulders and ditches stabilized
<input type="checkbox"/>	<input type="checkbox"/>	xxix) Number and location of storm water outfall areas remediated from scouring conditions
<input type="checkbox"/>	<input type="checkbox"/>	xxx) Number and location of de-icing salt and sand storage areas covered or otherwise improved to minimize storm water exposure
<input type="checkbox"/>	<input type="checkbox"/>	xxxii) Estimated amount, in tons, of salt and sand used for snow and ice control
<input type="checkbox"/>	<input type="checkbox"/>	xxxiii) Estimated amount of material by weight collected from catch basin, trash rack, or other structural BMP cleaning
<input type="checkbox"/>	<input type="checkbox"/>	xxxiv) Estimated amount of material by weight collected from street sweeping
<input type="checkbox"/>	<input type="checkbox"/>	xxxv) Number or percentage and location of canine parks sited at least 150 feet away from a surface water body
<input type="checkbox"/>	<input type="checkbox"/>	xxxvi) Other
<input type="checkbox"/>		<b>5. SWQMP – Part C identifies, as a minimum, the following compliance schedule for implementation from the submission day of the NOI letter:</b>
<input type="checkbox"/>		a) “SWQMP – Part B: Baseline Characterization and Report” submitted within 180 days
<input type="checkbox"/>		b) Public education and outreach program developed and implemented within 1 year
<input type="checkbox"/>		c) Public involvement and participation program developed and implemented within 1 year
<input type="checkbox"/>		d) Illicit discharge plan and ordinance developed and program implemented and all major outfall conveyances mapped within 1 year
<input type="checkbox"/>		e) 25% of storm water outfall conveyance systems mapped each year after 1 year
<input type="checkbox"/>		f) All known storm water outfalls with a diameter greater than 12 inches and open ditches mapped within 5 years
<input type="checkbox"/>		g) Construction site plan and ordinance developed and program implemented within 1 year
<input type="checkbox"/>		h) O&M plan developed and program implemented within 2 years
<input type="checkbox"/>		i) Postconstruction plan and ordinance developed and program implemented within 2 years
<input type="checkbox"/>		j) Operations pollution prevention program developed and implemented within 1 year
<input type="checkbox"/>		<b>6. For the Public Education and Outreach MCM:</b>
<input type="checkbox"/>		a) Plan identifies and schedules implementation of an informational program for constituents
<input type="checkbox"/>		b) Plan identifies initial assessment of constituents
<input type="checkbox"/>		c) Plan identifies specific target outreach or reduction goal percentages and timetables
<input type="checkbox"/>	<input type="checkbox"/>	d) For CSS communities, the current LTCP has been reviewed for ensuring that there is consistency with this MCM
<input type="checkbox"/>		<b>7. For the Public Participation/Involvement MCM:</b>
<input type="checkbox"/>		a) Plan identifies and schedules implementation of a public participation program
<input type="checkbox"/>		b) Plan identifies initial assessment of constituents
<input type="checkbox"/>		c) Plan identifies specific public involvement and reduction goal percentages and timetables
<input type="checkbox"/>	<input type="checkbox"/>	d) For CSS communities, the current LTCP has been reviewed for ensuring that there is consistency with this MCM
<input type="checkbox"/>		<b>8. For the Illicit Discharge Detection and Elimination MCM:</b>
<input type="checkbox"/>		a) Plan schedules development of a storm sewer system map

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X	NA	ITEM
<input type="checkbox"/>		b) Plan schedules development and implementation of an ordinance or other regulatory mechanism that prohibits illicit discharges into the storm sewer system
<input type="checkbox"/>		c) Plan identifies and schedules implementation of a plan to detect, address, and eliminate illicit discharges, including illegal dumping, into the storm sewer system
<input type="checkbox"/>		i) This plan requires that problem areas be located via dry weather screening or other means
<input type="checkbox"/>		ii) This plan requires that the source of the problem be located, the illicit connection be removed or corrected, and the actions taken be documented
<input type="checkbox"/>		iii) This plan identifies all known active industrial facilities that discharge into a regulated MS4 conveyance
<input type="checkbox"/>		d) Plan identifies and schedules implementation of an education program for public employees, businesses, and the general public about the hazards associated with illicit discharges and improper disposal of waste
<input type="checkbox"/>		e) Plan establishes a recycling program for commonly dumped wastes
<input type="checkbox"/>		f) Plan identifies specific outreach and reduction goal percentages and timetables
<input type="checkbox"/>	<input type="checkbox"/>	g) For CSS communities, the current CSOOP and LTCP have been reviewed for ensuring that there is consistency with this MCM
		<b>9. For the Construction Site Storm Water Run-off Control MCM:</b>
<input type="checkbox"/>		a) Plan schedules development and implementation of an ordinance or other regulatory mechanism that controls polluted run-off from construction sites with a land disturbance of greater than or equal to one (1) acre
<input type="checkbox"/>	<input type="checkbox"/>	b) Plan established written agreement or process to allow local SWCD input
<input type="checkbox"/>		c) Plan identifies and schedules implementation of a requirement to use appropriate BMPs on construction sites to control sediment and erosion and other waste at a site
<input type="checkbox"/>		d) Plan identifies and schedules implementation of procedures for plan review, site inspection (including prioritization of sites) and enforcement of control measures to deter infractions
<input type="checkbox"/>		e) Plan identifies procedures for plan review of projects operated by the MS4 operator
<input type="checkbox"/>		f) Plan requires annual training for MS4 personnel responsible for implementing this MCM
<input type="checkbox"/>		g) Plan identifies and schedules implementation of procedures for receipt and consideration of public inquiries, concerns, and information submitted regarding local construction activities
<input type="checkbox"/>		h) Plan identifies specific outreach, compliance, and implementation goals and timetables
		<b>10. For the Postconstruction Storm Water Run-off Control MCM:</b>
<input type="checkbox"/>		a) Plan schedules development and implementation of an ordinance or other regulatory mechanism that requires the implementation of planning procedures to promote improved water quality
<input type="checkbox"/>	<input type="checkbox"/>	i) Plan procedures include the postconstruction requirements of 327 IAC 15-5-6.5(a)(8)
<input type="checkbox"/>	<input type="checkbox"/>	ii) Where appropriate, procedures include buffer strip and riparian zone preservation
<input type="checkbox"/>	<input type="checkbox"/>	iii) Where appropriate, procedures include filter strip creation
<input type="checkbox"/>	<input type="checkbox"/>	iv) Where appropriate, procedures include minimization of land disturbance and surface imperviousness
<input type="checkbox"/>	<input type="checkbox"/>	v) Where appropriate, procedures include maximization of open space
<input type="checkbox"/>	<input type="checkbox"/>	vi) Where appropriate, procedures include directing community physical growth away from sensitive areas and towards areas that can support it without compromising water quality
<input type="checkbox"/>		b) Plan identifies the use of any storage, infiltration, filtering, and/or vegetative practice to reduce the impact of pollutants on storm water run-off to meet narrative water quality standards on receiving waters
<input type="checkbox"/>		i) Plan prohibits using infiltration practices in well head protection areas
<input type="checkbox"/>		ii) As site conditions allow, plan requires an appropriately-sized vegetated filter strip width along unvegetated swales/ditches
<input type="checkbox"/>		iii) Plan prohibits discharges directly to sinkholes or fractured bedrock, without appropriate treatment to meet Indiana ground water quality standards
<input type="checkbox"/>		iv) Plan requires any discharge from a storm water practice that is a Class V injection well to meet Indiana ground water quality standards
<input type="checkbox"/>		v) Plan requires installation of appropriate BMPs to reduce metals and hydrocarbons at new retail gasoline outlets or municipal/institutional refueling areas
<input type="checkbox"/>		vi) As site conditions allow, plan regulates the rate of storm water flow through the MS4 conveyances
<input type="checkbox"/>		vii) Plan requires annual training for MS4 personnel responsible for implementing this MCM
<input type="checkbox"/>		viii) Plan identifies and schedules implementation of a written O&M plan for structural BMPs.
<input type="checkbox"/>		c) Plan identifies specific goals for reduction percentages and timetables
		<b>11. For the Municipal Operations Pollution Prevention and Good Housekeeping MCM:</b>
<input type="checkbox"/>		a) Plan identifies and schedules implementation of a written program to ensure that existing municipal, State or Federal operations are performed in ways that will minimize contamination of storm water discharges
<input type="checkbox"/>		i) Program addresses written documentation of maintenance activities, maintenance schedules, and long-term inspection procedures for BMPs to reduce floatables and other pollutants discharged from the storm sewer system
<input type="checkbox"/>		ii) Program addresses controls for reducing or eliminating the discharge of pollutants from operational areas, including roads, parking lots, maintenance and storage yards, and waste transfer stations
<input type="checkbox"/>	<input type="checkbox"/>	iii) Program requires a minimum distance of 150 feet for canine parks to be sited away from a surface water body
<input type="checkbox"/>		iv) Program addresses written procedures for the proper disposal of waste removed from MS4 conveyances and operational areas
<input type="checkbox"/>		v) Program addresses written documentation to ensure that new flood management projects assess their impacts on water quality and examine existing projects for incorporation of additional water quality protection devices or practices
<input type="checkbox"/>		vi) Program addresses documentation for MS4 area personnel to attend annual training regarding this MCM
<input type="checkbox"/>		b) Plan identifies specific reduction goal percentages and timetables

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<b>X</b>	<b>NA</b>	<b>ITEM</b>
<input type="checkbox"/>	<input type="checkbox"/>	c) For CSS communities, the current CSOOP and LTCP have been reviewed for ensuring that there is consistency with this MCM
<input type="checkbox"/>		<b>12.</b> "SWQMP – Part C: Program Implementation" has been certified by a Qualified Professional and the MS4 Operator.

**PART B: CERTIFICATION AND SIGNATURE**

► The Qualified Professional and MS4 Operator (referenced in Part A, Item #12 of this form) must sign the following certification statement: and provide the pertinent NPDES permit number:

*"By signing this checklist, I hereby certify under penalty of law that this document was prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."*

**Name of Qualified Professional:** \_\_\_\_\_ **NPDES Permit #:** **INR040** \_\_\_\_\_  
*(typed or printed)*

**Signature of Qualified Professional:** \_\_\_\_\_ **Date:** \_\_\_\_\_  
*(mm/dd/year)*

**Name of MS4 Operator:** \_\_\_\_\_  
*(typed or printed)*

**Signature of MS4 Operator:** \_\_\_\_\_ **Date:** \_\_\_\_\_  
*(mm/dd/year)*