



APPLICATION FOR LICENSE TO OPERATE AN AMBULATORY OUTPATIENT SURGICAL CENTER

State Form 9340 (R7 / 7-25)

INDIANA DEPARTMENT OF HEALTH-DIVISION OF ACUTE CARE

(Pursuant to IC 16-21-2 and 410 IAC 15-2.3-1)

Division of Acute Care Use Only

Date Received _____ Date Approved _____ Date Rejected _____
(month, day, year) (month, day, year) (month, day, year)

Please Type or Print Legibly.

SECTION I - TYPE OF APPLICATION

Application (Check appropriate item.)

☐ New Facility ☐ Renewal ☐ Change of Ownership (Anticipated date of Sale/Purchase/Lease) (month, day, year) _____
Submit a dated and signed copy of the bill of sale, lease or other document of transfer.

SECTION II - IDENTIFYING INFORMATION

A. Surgical Center Location

Name of Surgical Center

Street Address (number and street)

P.O. Box

City

County

ZIP Code +4

Telephone Number

Fax Number

Hours Procedures are Performed (If no procedures performed, indicate "closed.")

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Monday

Tuesday

Wednesday

Thursday

Friday

Saturday

Sunday

B. Mailing Address (if different from surgical center location)

Street Address (number and street)

P.O. Box

City

County

ZIP Code +4

C. Licensee / Ownership Information

Licensee: The applicant entity as registered with the secretary of state

Street Address (number and street)

P.O. Box

City

State

Zip Code+4

Telephone Number

Fax Number

EIN Number

Fiscal Year End Date (mm/dd)

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D. Supplier Numbers

Medicare Supplier Number

15C

Medicaid Supplier Number (Related Supplier Number)

E. Services provided under this license:

Coded as follows: 1. Provided directly by employee, 2. Provided by a contract service, 3. Both 1 and 2.

Ancillary Services: ☐ Laboratory ☐ Radiology ☐ EKG ☐ Pharmacy

Surgical Services: ☐ Cardiovascular ☐ Foot ☐ General ☐ Neurological ☐ Obstetrics/Gynecology
☐ Ophthalmology ☐ Oral ☐ Orthopedic ☐ Otolaryngology ☐ Plastic ☐ Thoracic
☐ Urology ☐ Gastroenterology ☐ Other

F. Number of Operating Rooms (as adopted by Health Care Engineering Program; in.gov/health/cshcr/health-care-engineering/)

Procedure Room	Operating Room	Endoscopy Procedure Room
_____ Number that have a minimum clear floor area of 130 Square feet	_____ Number that have a minimum clear floor area of 255 square feet	_____ Number that have a minimum clear floor area of 130 square feet
_____ Number that have a minimum clear floor area of 160 square feet	_____ Number that have a minimum clear floor area of 270 square feet	_____ Number that have a minimum clear floor area of 160 square feet
_____ Number that require additional personnel &/or large equipment to meet clearance requirements	_____ Number that have a minimum clear floor area of 400 square feet	

*Note per IC 16-18-2-14(a)(5) must have at least 1 Operating Room

G. Off-site centers:

Are there off-site facilities under this license? ☐ Yes ☐ No

If yes, please complete the following information:

Name	Address/City/State/ZIP	Telephone Number

H. Accreditation:

Does this center have accreditation that is "deemed" to meet CMS Conditions of Coverage? ☐ Yes ☐ No

If accredited, please complete the following:

Name	Effective Date of Accreditation (month, day, year)	Expiration Date of Accreditation (month, day, year)

I. Type of Entity:**For Profit**

- ☐ Individual
- ☐ Partnership
- ☐ Corporation
- ☐ Limited Liability Company
- ☐ Sole Proprietorship
- ☐ Other (*specify*) _____
- _____
- _____
- _____

Non-Profit

- ☐ Church Related
- ☐ Individual
- ☐ Partnership
- ☐ Corporation
- ☐ Limited Liability Company
- ☐ Other (*specify*) _____
- _____
- _____
- _____

Government

- ☐ State
- ☐ County
- ☐ City
- ☐ City / County
- ☐ Hospital District
- ☐ Federal
- ☐ Other (*specify*) _____
- _____
- _____
- _____

J. Officers (if the business entity is incorporated)

Position	Name	Address/City/State/ZIP
President / Chairperson / CEO		
Vice-President / Vice-Chairperson / COO		
Treasurer / CFO		
Secretary		

K. Ownership and/or Change in Ownership:

List names and addresses of individuals or organizations having direct or indirect ownership or controlling interest of five percent (5%) in the applicant entity. Indirect ownership interest is an entity that has an ownership interest in the applicant entity. Ownership in any entity higher in a pyramid than the applicant constitutes indirect ownership. (*use additional sheet if necessary*)

Name	Business Address/City/State/ZIP	EIN Number

CERTIFICATION OF APPLICATION

The undersigned hereby makes application for a license to operate an Ambulatory Outpatient Surgical Center (Center) in the State of Indiana, and in support of this application, represents and shows that the owner(s) and operator(s) are of reputable and reasonable character, are able to comply with the Ambulatory Outpatient Surgical Center statutes, IC 16-21, and the rules promulgated thereunder, 410 IAC 15-2.1 and will operate and maintain this center in accordance with those rules.

I certify that the operational policies of the center will not provide for discrimination based upon race, color, creed, or national origin.

I swear and affirm under the penalty of perjury that all statements made in this application and any attachments thereto are correct and complete and that I will comply with all regulations, laws, and rules governing the licensing of centers in Indiana.

Signature of Chief Executive Officer / Owner:	
Printed Name and Title:	
Date of Signature (<i>month, day, year</i>):	
Signature of the Facility Administrator:	
Printed Name and Title:	
Date of Signature (<i>month, day, year</i>):	

See the following page for instructions regarding licensure fees and submission of this application.

License Fee

Select the appropriate license fee below and return the application, any attachments, and license fee to:

**INDIANA DEPARTMENT OF HEALTH
ATTENTION: CASHIER'S OFFICE
2 NORTH MERIDIAN STREET, SUITE 2-C
INDIANAPOLIS, INDIANA 46204**

Total Annual Procedures are found on the fourth quarter report entitled "Quarterly Utilization Review Report/Ambulatory Surgery Center (State form 49933)," item III, line "Since the beginning of the year", right hand box.

Check One	Total Annual Procedures	Fee
	Zero to 799	\$500.00
	800 to 3,499	\$1,000.00
	3,500 to 6,999	\$2,000.00
	7,000 and above	\$3,000.00

Indiana Hospital Council; 414 IAC 1-2