

INSTRUCTIONS:

- 1. Type or print legibly.
- 2. Include payment in the form of a business check, certified check, or money order.
- 3. Do not complete shaded areas.
- 4. Application must be received by our office at least ninety (90) days before permit expiration.
- 5. Please attach a completed Property Tax Clearance Form 1 (State Form 1462).
 6. Please attach additional documentation as indicated throughout the application.

- 7. For a list of permit fees, please visit www.in.gov/atc/files/Complete-ATC-Fee-Schedule.pdf. 8. To apply online, please visit www.in.gov/atc/alcohol-permit-resources/alcohol-permit- applications-and-forms/

FOR OFFICE USE ONLY			
Examined by / date			
Hearing date			
Issue date			
New expiration date			
Release date			
Base fee	Catering		
Processor			
Renewal date			
Excise district	Local board		
Permit number			

SECTION 1: GENERAL INFORMATION					
Name of permit holder as printed on permit (individual or business entity)					
Doing business as (d/b/a)	Permit exp	piration date (mm/dd/yyyy)			
	<u> </u>				
Permit type	Permit status	re / in escrow			
	Attach Escrow Request (SF 56811)				
Mailing address (number and street, city, state, ZIP code)					
	1				
E-mail address	Telephone number				
1.1. Have there been any changes to the floor plan on file with the ATC since you last ap permit? (If yes, follow the instructions in Section 6.)	☐ Yes ☐ No				
1.2. Have you or anyone with an interest in this permit been convicted of a felony or misc was last applied for or renewed? (If yes, please attach letter with dates, court, convicinformation.)	☐ Yes ☐ No				
1.3. Do any individuals, corporations, limited liability companies, limited liability partnerships owners, members, or partners of such entities have any interest, either directly or indire registrations of any kind issued under Indiana Code 7.1 connected with, but not limited distribution, transportation, or sale of alcoholic beverages? (If yes, attach a list of all per	☐ Yes ☐ No				
Does the applicant have a legal right to possess the permit premises for the term of bona fide lease)?	☐ Yes ☐ No				
1.5. Do you consent for the duration of the permit to inspection and search by an enforcement officer, without a warrant or other process, of your licensed premises and vehicles to determine compliance with the provisions of Indiana Code 7.1? Answering "No" to this question will result in the denial of this application.					
SECTION 2: OWNERSHIP INFORMATION					
The applicant is a: (Check one)					
□Sole Proprietorship □ Limited Partnership □ Government Entity □ Simple Partnership □ Limited Liability Partnership □ Club Association □ Corporation □ Limited Liability Company					
Please provide the following ownership information (Attach additional sheets if necessary):					

SOLE OWNER - Owner / sole proprietor

- CLUB Highest ranking officer and financial secretary or treasurer
- CORPORATION President, secretary, and all stockholders with at least 2% interest in the permit
- GOVERNMENT ENTITY Government employee(s) responsible for permit
- LIMITED LIABLITY COMPANY All members with at least 2% interest in the permit
- PARTNERSHIP / LIMITED PARTNERSHIP / LIMITED LIABLITY PARTNERSHIP All partners with at least 2% ownership in the permit

IC 7.1-3-21-8 requires the disclosure of each person or entity that holds (directly or indirectly) at least a two percent (2%) interest in the permit and the business conducted (or to be conducted) under it. Unless the applicant is a club, government entity, or nonprofit organization, ownership percentage must be included below. When disclosing a publicly traded corporation, please provide the name and address of the corporate officers and members of the board of directors. Ownership changes must be disclosed by permittees within ten (10) days of the date when the change became effective. If ownership has changed (by death, transfer, sale of stock or interest, etc.) since the permit was last applied for or renewed, a Disclosure of Interested Parties (State Form 54438), signed by a majority of the previously disclosed ownership, must be submitted in addition to this application. 2.1. Complete name Date of birth (mm/dd/yyyy) Citizen of United States ☐ Yes ☐ No Address (number and street, city, state, ZIP code) Title Ownership interest (%) ☐Sole Proprietor ☐ Stockholder ☐ Partner ☐ Member ☐ Club Officer ☐ Corporate Officer 2.2. Complete name Date of birth (mm/dd/yyyy) Citizen of United States ☐ Yes ☐ No Address (number and street, city, state, ZIP code) Title Ownership interest (%) ☐ Club Officer ☐ Sole Proprietor Stockholder Partner Member ☐ Corporate Officer 2.3. Complete name Date of birth (mm/dd/yyyy) Citizen of United States ☐ Yes ☐ No Address (number and street, city, state, ZIP code) Title Ownership interest (%) ☐Sole Proprietor □ Stockholder ☐ Partner ☐ Club Officer ☐ Corporate Officer 2.4. Complete name Date of birth (mm/dd/yyyy) Citizen of United States ☐ Yes ☐ No Address (number and street, city, state, ZIP code) Title Ownership interest (%) ☐Sole Proprietor ☐ Stockholder ☐ Partner ☐ Member ☐ Club Officer ☐ Corporate Officer 2.5. Complete name Date of birth (mm/dd/yyyy) Citizen of United States ☐ Yes ☐ No Address (number and street, city, state, ZIP code) Title Ownership interest (%) ☐ Partner ☐ Club Officer ☐Sole Proprietor □ Stockholder □ Corporate Officer 2.6. Complete name Date of birth (mm/dd/yyyy) Citizen of United States ☐ Yes ☐ No Address (number and street, city, state, ZIP code) Title Ownership interest (%) ☐ Partner ☐ Member ☐ Club Officer ☐ Corporate Officer ☐Sole Proprietor □ Stockholder

Check here if you have disclosed less than 100% of the permit ownership and the remaining undisclosed owners hold less than a 2% ownership interest.

SECTION 3: ANNUAL GROSS SALES FIGURES (All figures are subject to verification by the Indiana Department of Revenue.)				
NOTE: If the permit was in escrow (i.e., inactive) the previous year, skip to Section 4.				
3.1. Do you hold a beer, wine, and liquor retailer permit issued in an unincorporated area (type 209 permit)?		☐ Yes	□No	
3.2. Do you hold a beer, wine, and liquor retailer permit with limited	d barroom /	family room separation?	☐ Yes	□No
3.3. Do you operate a convenience store or food mart (as defined	by IC 7.1-1	-3-18.5(a)(2))?	☐ Yes	□No
NOTE: If you answered	"No" to all	questions above, skip to Section 4.		
Date of beginning report (mm/dd/yyyy)	Date of e	ending report (mm/dd/yyyy)		
A. Gross food sales (exclude carryout and catering sales) B. Gross alcohol sales		s alcohol sales	C. Total gross sales (A + B)	
SECTION 4:	OPERAT	IONAL INFORMATION		
4.1. Is there a contract of any kind to sell the permit and/or busines	ss at this tin	ne?	☐ Yes	□ No
4.2. Have all necessary employees obtained employee permits and completed employee training if required?		☐ Yes	□No	
4.3. Do you sell tobacco products at the licensed premises? (If yes, please provide the tobacco sales certificate number)		☐ Yes	□ No	
4.4. Do you conduct type II gaming at the licensed premises? (If yes, please provide the type II gaming endorsement number)		☐ Yes	□ No	
	: MANAG	ER QUESTIONNAIRE		
Name of manager (last, first, middle)		Date of birth (mm/dd/yyyy)		
Employee permit number Employee permit expiration date (r.		Employee permit expiration date (mm/da	<i>(</i> /уууу)	
Home address (number and street, city, state, and ZIP code)				
5.1. Are you a citizen of the United States?		☐ Yes	□No	
5.2. Are you at least twenty-one (21) years old?		☐ Yes	□ No	
5.3. Are you an officer or employee of a non-resident of the state of Indiana that is engaged in the alcoholic beverage traffic or engaged in carrying on any phase of the manufacture of, traffic in, or transportation of alcoholic beverages without a permit under Title 7.1 of the Indiana Code?		☐ Yes	□No	
5.4. Are you a law enforcement officer, a non-elected officer of a municipal corporation or governmental subdivision, or an officer of the state of Indiana charged with any duty or function in the enforcement of Title 7.1 of the Indiana Code?		☐ Yes	□No	
5.5. Have you ever been convicted of a felony or misdemeanor? If yes, please attach a letter detailing the conviction, court, date, and sentence information.		Yes	□No	
5.6. Have you ever been found to have committed a violation of the Indiana alcoholic beverage laws, rules, regulations, or orders of the ATC? If yes, please attach a letter detailing the violation(s), including any permit number(s).		☐ Yes	□ No	
5.7. Have you held an alcoholic beverage permit under Title 7.1 of the Indiana Code and had the permit revoked within one (1) year prior to the date of this application? <i>If yes, please provide the permit number(s) and an explanation.</i>		☐ Yes	□No	

5.8. Have you made an application for an alcoholic beverage permit of any type which was denie to the date of this application (unless the application was denied by reason of a procedural yes, please attach an explanation.	☐ Yes ☐ No			
5.9. Do you have an interest, either directly or indirectly, in any other permits or registrations of a under Title 7.1 of the Indiana Code connected with, but not limited to, the production, distrib or sale of alcoholic beverages? <i>If yes, please list the permit number(s) below.</i>	☐ Yes ☐ No			
Permit number(s)				
Signature of manager		Date (mm/dd/yyyy)		
SECTION 6: FLOOR PLAN				
If there have been any changes to the floor plan since the initial application or the last renewal that have not been approved by the ATC, the applicant must submit a floor plan drawing on letter size paper (8 ½" x 11") and attach the drawing to this renewal application. The drawing must include dimensions and identification of any existing family room(s), seating arrangement(s), ballroom(s), bar(s), dance floor area(s), kitchen area(s), restrooms, storage areas, entrances/exits, patios, beer gardens, service windows, and alcoholic beverage display areas for all types of permits. The drawing must be signed and dated. NOTE: All floor plan changes must be approved by the Commission. If you have amended your floor plan and have not had it approved by the ATC, please contact your local Excise district office for a floor plan inspection. To locate the appropriate Indiana State Excise Police district office, please visit				
www.in.gov/atc/isep/contact-us/.				
SECTION 7: RETAILER PERMIT QUI	ESTIONS			
7.1. CARRYOUT PRIVILEGES				
For retailer permittees with carryout privileges, please complete and attach the Renewal of Retail Carry-Out (State Form 56515).				
7.2. LIQUOR LIABILITY INSURANCE				
All retailer permit holders must maintain liquor liability insurance that has total coverage of at least five hundred thousand dollars (\$500,000) or a liquor liability endorsement to a general liability insurance policy that has total coverage of at least five hundred thousand dollars (\$500,000) during the permit term.				
Please attach proof of liquor liability insurance in the form of a certificate of insurance or policy declaration that clearly identifies the coverage amount and contains the following information: (1) the name of the insured/permit holder; (2) the address(es) of the permit location(s) for which the insurance coverage applies; and (3) the effective date and expiration date of the policy.				
NOTE: For permits that are or will be deposited in escrow, proof of liquor liability insurance	e must be provided prior to	the permit being made active.		
SECTION 8: CERTIFICATION OF AP	PLICANT			
I certify that this application was completed by myself or by the preparer identified below. I certify that I have read this completed document and that all information provided herein and on any attachments is true and correct. I UNDERSTAND THAT IT IS A FELONY UNDER LAW TO MISREPRESENT OR FALSIFY ANY PORTION OF THIS APPLICATION OR ATTACHED DOCUMENTS.				
I hereby consent for the duration of the permit term to inspection and search by an enforcement officer, without a warrant or other process, of my licensed premises, any approved satellite facility, approved storage facility, and vehicles to determine compliance with the provision of Indiana Code 7.1.				
Note: The applicant MUST sign this application unless a valid ATC Power of Attorn	ney form is submitted or a	lready on file with the ATC.		
Signature	Date (mm/dd/yyyy)			
Printed name	Title			
SECTION 9: CERTIFICATION OF PREPARER (if applicable)				
I certify that I have examined this application and the accompanying documents, and to the best of my knowledge and belief, they are true, correct, and complete. I certify that the applicant reviewed the completed form prior to signing.				
Signature	Date (mm/dd/yyyy)			
Printed name	Telephone number			

SECTION 10: PAYMENT AND CONTACT INFORMATION

Payment must be in the form of a business check, certified check, or money order made payable to the Indiana Alcohol and Tobacco Commission.

Applications without payment will be returned.

Indiana Alcohol and Tobacco Commission 302 West Washington Street, Room E-114 Indianapolis, IN 46204 (317) 232-2430 www.in.gov/atc