



Indiana Department of Revenue
**Joint Application for Sale and Transfer
of Permanent Authority to Transport
Passenger or Household Goods**

Indiana ID / USDOT Number
(To be completed by DOR.)

Joint application for sale and transfer of _____ by the Indiana Department of Revenue (DOR).
Certificate or Permit Number

Part 1 – Purchaser Information

1. Purchaser's Name (include DBA, if applicable): _____

2. Street Address _____

3. City, State, ZIP Code _____

4. Telephone Number _____ County _____

Email Address _____

5. Principal Place of Business in Indiana (if different):

Street Address _____

City, State, ZIP Code _____

County _____

6. Type of Entity (select one): Partnership (list members below) Corporation (list principal officers below)
 Individual Other: _____

7. If purchaser is a partnership, provide the name and address of each member.
If purchaser is a corporation, provide the name, title, and address of each principal officer.

Name	Title	Address

8. If purchaser is a corporation, LP or LLC, provide the State and the Date of Incorporation.

State: _____ Date of Incorporation: _____ Total Number of Shares Outstanding: _____

Indicate the most recent year an annual report was filed with Office of the Indiana Secretary of State: _____

9. List the name of each shareholder and the number of shares held by each.

Name	Number of Shares

10. List all other motor carrier companies which hold Indiana intrastate operating authority in which any shareholder has an interest.

Motor Carrier Company	Certificate or Permit Number

11. If currently operating under an Indiana certificate or permit, provide the number.

Certificate Number: _____ Permit Number: _____

Part 2 – Seller Information

1. Seller’s Name (include DBA, if applicable): _____

2. Street Address _____

3. City, State, ZIP Code _____

4. Telephone Number _____ County _____

5. Principal Place of Business in Indiana (if different):

Street Address _____

City, State, ZIP Code _____

County _____

6. Type of Entity (select one): Partnership (list members below) Corporation (list principal officers below)
 Individual Other: _____

7. If seller is a partnership, provide the name and address of each member.
 If seller is a corporation, provide the name, title, and address of each principal officer.

Name	Title	Address

8. If seller is a corporation, provide the State and the Date of Incorporation.

State: _____ Date of Incorporation: _____

Indicate the most recent year an annual report was filed with Office of the Indiana Secretary of State: _____

9. List all Indiana intrastate authority certificate or permit numbers which the seller will be retaining. (Attach Copies)

10. Is the seller currently in bankruptcy? Yes No If yes, indicate cause number, date of filing and in what court filed.

11. Has any shareholder, partner or owner of seller ever been a shareholder, partner or owner of a motor carrier that has filed bankruptcy? Yes No If yes, provide the following information.

Name of Shareholder, Partner or Owner	Motor Carrier	Date of Bankruptcy Petition	Cause Number of Bankruptcy Petition	Court Filed

Did this motor carrier hold intrastate operating authority? Yes No
If yes, what happened to the certificate or permit as a result of the bankruptcy?

12. Has the seller performed continuous and adequate service under the certificate or permit now pending sale and transfer? Yes No

In support of this application, applicant submits the following exhibits, attached hereto and made part hereof:

- Exhibit A - A statement describing purchaser's financial status, including a brief statement of assets and liabilities as of the date of application, and a copy of applicant's most recent balance sheet and income statement.
- Exhibit B - A certificate from the Office of the Secretary of State of Indiana showing purchaser is registered to do business in Indiana (if the purchaser is a non-resident corporation); or
A certificate of existence from the Secretary of State of Indiana (if the purchaser is an Indiana corporation).
- Exhibit C - A copy of the certificate or permit being transferred including the scope of authority granted by DOR.
- Exhibit D - If seller is currently in bankruptcy, a copy of the bankruptcy petition.

Instructions for Joint Application for Sale and Transfer of Permanent Authority

Please read these instructions carefully before completing the application.

The application for sale and transfer of a certificate or permit **must** be typewritten or legible. The original and one copy of the application must be filed.

Each line of the application must be completed. If a line is not applicable, enter "N/A" in the space provided.

Once DOR has approved the application, you must submit the following payment:

- A filing fee of \$100; make checks payable to the Indiana Department of Revenue;
- A publication fee of \$80.

All incomplete applications for passenger and household goods will be returned. If there are any issues once we receive the complete application, we will return the application for corrections. Once corrections have been made, return the entire completed and corrected application. You must schedule an appointment if you would like to visit our walk-in customer service center to set up your account.

IC 8-2.1-22-13 requires DOR to hold a public hearing covering the information below before issuing a certificate or permit.

- The financial ability to furnish adequate service;
- Whether existing transportation service is adequate;
- The effect upon existing transportation, particularly, whether the granting of such application will or may
- Seriously impair such existing service;
- The volume of existing traffic over the proposed route;
- The effect and burden upon the highways and the bridges thereon, and the use thereof by the public; and
- Whether operations will threaten public safety or be detrimental to the public welfare.

If no protests are filed to your application, the hearing will be summary in nature pursuant to 45 IAC 16-1.5-12(c).

Any person may appear and represent his or her own interest. If a person or entity is represented by an attorney, that attorney must be authorized to practice before the Indiana Department of Revenue.

If you have any questions regarding this application, please contact Motor Carrier Services at 317-615-7200, Monday through Friday, 8 a.m. – 4:30 p.m. EST.

Indiana Department of Revenue
Motor Carrier Services / Insurance and Safety
7811 Milhouse Road, Suite M
Indianapolis, Indiana 46241-9612