



APPLICATION FOR RENEWAL OF MANUFACTURER OR WHOLESALE ALCOHOLIC BEVERAGE PERMIT

State Form 56886 (R2 / 7-22)

- INSTRUCTIONS:**
1. Type or print legibly.
 2. Include payment.
 3. Application must be received by our office at least ninety (90) days before permit expires.
 4. Do not complete shaded areas.
 5. Please attach a completed Property Tax Clearance – Form 1 (State Form 1462).

SECTION 1. GENERAL INFORMATION			FOR OFFICE USE ONLY	
Name of applicant as printed on permit	Permit number	Permit type	Examined by/date (mm/dd/yy)	
Name of business (d/b/a)		Permit expiration date (mm/dd/yy)	Hearing date (mm/dd/yy)	
Business address (number and street, city, state, ZIP code)		Business telephone number () -	Issue date (mm/dd/yy)	
		Home telephone number () -	New expiration date (mm/dd/yy)	
Mailing address <input type="checkbox"/> Same as above NOTE: Notices from the ATC will be sent to the mailing address provided on this form. It is the permittee's responsibility to notify the ATC of any changes to the mailing address.		Permit status <input type="checkbox"/> Active <input type="checkbox"/> Non-operational/escrow (Attach State Form 56811, Escrow Request.)	Release date (mm/dd/yy)	
Number and Street			Base fee	
City, State, and ZIP Code			Catering	
E-mail address			Name of processor	
			Date of renewal (mm/dd/yy)	
			Excise district	
			Local board	
1) Have there been any changes in the existing operation, floor plans, or seating accommodations since you last applied for or renewed this permit? (If yes, follow the instructions in Section 7.)			<input type="checkbox"/> Yes <input type="checkbox"/> No	
2) Do you consent for the duration of the permit to inspection and search by an enforcement officer, without a warrant or other process, of your licensed premises and vehicles to determine compliance with the provision of Indiana Code 7.1?			<input type="checkbox"/> Yes <input type="checkbox"/> No	
3) Do any individuals, corporations, limited liability companies, limited liability partnerships, partnerships or stock owners, members, or partners of such entities have any interest, either directly or indirectly, in any other permits or registrations of any kind issued under Indiana Code 7.1 connected with, but not limited to, the production, distribution, transportation, or sale of alcoholic beverages? (If yes, attach a list of all permits.)			<input type="checkbox"/> Yes <input type="checkbox"/> No	
4) Since your last renewal, have you or anyone with an interest in this permit been convicted of a misdemeanor or felony? (If yes, please attach letter with dates, court, conviction, and sentence information.)			<input type="checkbox"/> Yes <input type="checkbox"/> No	
5) Do you have the right to possess (rent, mortgage, or own) the permit premises for the term of the permit?			<input type="checkbox"/> Yes <input type="checkbox"/> No	
6) If you are the holder of a farm winery or artisan distiller permit, you are required under IC 7.1-3-12-5(a)(15) and IC 7.1-3-27-8(a)(12), respectively, to submit copies of your state and federal excise tax returns. Are your state and federal excise tax returns attached to this permit renewal application?			<input type="checkbox"/> Yes <input type="checkbox"/> No	
SECTION 2. BUSINESS OWNERSHIP				
Check one:				
<input type="checkbox"/> Corporation <input type="checkbox"/> Limited liability company <input type="checkbox"/> Partnership <input type="checkbox"/> Limited partnership <input type="checkbox"/> Club <input type="checkbox"/> Limited liability partnership <input type="checkbox"/> Sole ownership <input type="checkbox"/> Government entity				
NOTE: If the ownership has changed (by death, transfer, sale of stock or interest, etc.) since you last applied for renewal, you must also submit a Disclosure of Interested Parties form (State Form 55438), signed by a majority of the previously disclosed ownership, with this renewal application.				
Provide the information for the individuals associated with your permit as follows: (Attach additional sheets if necessary.)				
CLUB – Highest ranking officer and the financial secretary or treasurer CORPORATION – President, secretary, and all stockholders with at least 2% interest in the permit LIMITED LIABILITY COMPANY – All members with at least 2% interest in the permit and percent of interest held LIMITED PARTNERSHIP/PARTNERSHIP/LIMITED LIABILITY PARTNERSHIP – All partners with at least 2% ownership in the permit and percent of interest held SOLE OWNERSHIP – Owner GOVERNMENT ENTITY – Government official(s) responsible for permit				

NOTE: IC 7.1-3-21-8 requires the disclosure of each person with at least 2% interest in the permit who is, or will be, financially or beneficially interested in the permit and the business conducted, or to be conducted, under it. Changes to this list must be filed by the applicant or permittee within ten (10) days of the date when the change became effective.

Title	Name	Home Address (number and street, city, state, and ZIP code)	Date of Birth (mm/dd/yy)	Ownership Percentage*

*Percent must be included, except for a club, sole owner, or government entity. A shareholder with more than 50% ownership may individually sign transfer paperwork.

Check here if you disclosed less than 100% of the ownership of permit holder and the remaining ownership interests individually total less than 2% of the total ownership interest

SECTION 3. ANNUAL SALES / PRODUCTION

(All figures are subject to verification by the Indiana Department of Revenue.)

For a **small brewery** licensed under IC 7.1-3-2-2(b), report the number of barrels sold in Indiana for the calendar year immediately preceding the date of this renewal application, including:

- Beer sold in a tasting room or retail restaurant permit premises;
- Beer self-distributed under IC 7.1-3-2-7(5)(A); and
- Beer sold and distributed through a beer wholesaler in Indiana.

For a **farm winery** licensed under IC 7.1-3-12-3, report the number of gallons of wine sold in Indiana for the calendar year immediately preceding the date of this renewal application, including:

- Wine sold in a tasting room or retail restaurant permit premises;
- Wine sold and distributed through a wine or mircorwine wholesaler in Indiana; and
- Wine sold through direct shipment under the authority of a direct wine seller's permit to an address in Indiana.

For an **artisan distiller** licensed under IC 7.1-3-27-2, report the number of gallons sold in Indiana for the calendar year immediately preceding the date of this renewal application, including liquor sold in a tasting room or retail restaurant permit premises. Do not include any amount distributed through a liquor wholesaler in Indiana.

Date of beginning report (mm/dd/yy)

Date of ending report (mm/dd/yy)

Annual sales / production

- In barrels (brewery)
 In gallons (farm winery and artisan distillery)

SECTION 4. ANNUAL DISTRIBUTION

(All figures are subject to verification by the Indiana Department of Revenue.)

For a **small brewery** licensed under IC 7.1-3-2-2(b), report the number of barrels sold and delivered by the brewery to retailer and dealer permit holders under IC 7.1-3-2-7(5)(A) for the calendar year immediately preceding the date of this renewal application. If no beer was sold and delivered by the brewery directly to retailer and dealer permit holders under IC 7.1-3-2-7(5)(A), please put 0.

For a **microwine wholesaler** described in IC 7.1-4-4.1-13(c), report the number of gallons of wine and brandy sold to retailer and dealer permit holders in Indiana for the calendar year immediately preceding the date of this renewal application.

For a **farm winery** described in IC 7.1-3-12-3, report the number of gallons sold and delivered by the farm winery to retailer and dealer permits under IC 7.1-3-12-5(a)(14) for the calendar year immediately preceding the date of this renewal application. If no wine was sold and delivered by the farm winery directly to retailer and dealer permits under IC 7.1-3-12-5(a)(14), please put 0.

For an **artisan distiller** described in IC 7.1-3-27-1, report the number of gallons sold and delivered by the artisan distiller to retailer and dealer permits under IC 7.1-3-27-8(a)(11) for the calendar year immediately preceding the date of this renewal application. If no liquor was sold and delivered by the artisan distiller directly to the retailer and dealer permits under IC 7.1-3-27-8(a)(11), please put 0.

For a **direct wine seller** described in IC 7.1-3-26, report the number of liters direct shipped to a consumer in Indiana in the previous permit year.

Date of beginning report (mm/dd/yy)

Date of ending report (mm/dd/yy)

Annual distribution

- In barrels (brewery)
 In gallons (farm winery and artisan distillery)
 In liters (direct wine seller)

SECTION 5. OPERATIONS INFORMATION

Is there a contract of any kind to sell the permit/business at this time?

Yes No

Have all of your employees or servers obtained employee permits and completed employee training if required by Indiana Code 7.1?

Yes No

SECTION 6. MANAGER'S QUESTIONNAIRE

Name of manager <i>(last, first, middle initial)</i>		
Date of birth <i>(month, day, year)</i>	Employee permit number of manager	Date of expiration <i>(month, day, year)</i>
Home address <i>(number and street, city, state, and ZIP code)</i>		
Are you a citizen of the United States?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Are you at least twenty-one (21) years old?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Are you an officer or employee of a non-resident of the state of Indiana that is engaged in the alcoholic beverage traffic or engaged in carrying on any phase of the manufacture of, traffic in, or transportation of alcoholic beverages without a permit under Title 7.1 of the Indiana Code?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Are you a law enforcement officer, a non-elected officer of a municipal corporation or governmental subdivision, or an officer of the state of Indiana charged with any duty or function in the enforcement of Title 7.1 of the Indiana Code?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Have you ever been convicted of a felony or misdemeanor? <i>(If yes, please attach a letter detailing the conviction, court, date, and sentence information.)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Have you ever been found to have committed a violation of the Indiana alcoholic beverage laws, rules, regulations, or orders of the ATC? <i>(If yes, please attach a letter detailing the conviction(s) and/or violation(s), including any permit number(s).)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Have you held an alcoholic beverage permit under Title 7.1 of the Indiana Code and had the permit revoked within one (1) year prior to the date of this application? <i>(If yes, please provide the permit number(s) and an explanation.)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Have you made an application for an alcoholic beverage permit of any type which was denied less than one (1) year prior to the date of this application (unless the application was denied by reason of a procedural or technical defect)? <i>(If yes, please attach an explanation.)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Do you have an interest, either directly or indirectly, in any other permits or registrations of any kind issued under Title 7.1 of the Indiana Code connected with, but not limited to, the production, distribution, transportation, or sale of alcoholic beverages? <i>(If yes, please list the permit number(s) below.)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Permit number(s)		
Signature of manager	Date <i>(mm/dd/yy)</i>	

SECTION 7. FLOOR PLAN

If there are no changes to the permit premises floor plan since the initial application or last renewal, skip this step.

INSTRUCTIONS: If there have been any changes to the floor plan since the initial application or the last renewal that have not been approved by the ATC, applicant must submit a floor plan drawing on letter size (8 1/2" x 11") paper and attach the drawing to this renewal application. The drawing must show dimensions and identification of any existing family room(s), seating arrangement(s), ballroom(s), bar(s), dance floor area(s), kitchen area(s), restrooms, storage areas, entrances/exits, patios, beer gardens, service windows, and alcoholic beverage display areas for all types of permits. Sign and date the drawing.

NOTE: All floor plan changes must be approved by the Commission. If you amended your floor plan without prior approval, submit the floor plan drawings with this renewal application and contact your local excise district office immediately. Contact information for local excise district offices can be found at <https://www.in.gov/atc/isepl/2379.htm>.

SECTION 8. CERTIFICATION OF APPLICANT

I certify that there have been no changes regarding my previous application except those noted herein. I certify that this application was completed by myself or by the preparer identified herein. I certify that if this application was completed by a preparer, I have read the completed application. I certify that the ownership of my premises is true and that I will provide a copy of any applicable lease or purchase by contract upon request of the ATC. I certify that I have met any applicable food and beverage sales requirements. I certify that all information provided herein and on any attached schedules or documents are true and correct. **I UNDERSTAND THAT IT IS A FELONY UNDER LAW TO MISREPRESENT OR FALSIFY ANY PORTION OF THIS APPLICATION OR ATTACHED DOCUMENTS.**

Printed name of applicant	Signature of applicant	Date <i>(mm/dd/yy)</i>
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SECTION 9. CERTIFICATION OF PREPARER (if applicable)

I certify that I have examined this application and the accompanying forms, schedules, and statements, and to the best of my knowledge and belief, they are true, correct, and complete. I certify that the applicant reviewed the completed form prior to signing.

Signature of preparer

Date (mm/dd/yy)

Printed name of preparer

Telephone number
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SECTION 10. PAYMENT AND CONTACT INFORMATION

Please remit business check, certified check, or money order. Applications will not be processed without payment. (See attached fee schedule.)

Checks should be made payable to the Indiana Alcohol and Tobacco Commission.

Mail to:
Indiana Alcohol and Tobacco Commission
302 West Washington Street, Room E114
Indianapolis, Indiana 46204
For additional information: www.IN.gov/atc or (317) 232-2430

SECTION 11. PERMIT TYPES AND FEE SCHEDULE

Permit Type	Annual Fee	Statutory Authority
Wholesaler (beer, wine, liquor)	\$2,000.00	IC 7.1-4-4.1-13(b)
Microwine wholesaler (selling less than 12,000 gallons of wine and brandy per year)	\$100.00	IC 7.1-4-4.1-13(c)
Brewer (manufacturing more than 90,000 barrels per year)	\$2,000.00	IC 7.1-4-4.1-14
Small brewer (manufacturing 90,000 barrels or less per year)	\$500.00	IC 7.1-4-4.1-16
Distiller	\$2,000.00	IC 7.1-4-4.1-14
Artisan distiller	\$250.00	IC 7.1-3-27-15
Farm winery brandy distiller	\$250.00	IC 7.1-4-4.1-17
Farm winery	\$500.00	IC 7.1-4-4.1-15
Vintner	\$2,000.00	IC 7.1-4-4.1-14
Rectifier	\$2,000.00	IC 7.1-4-4.1-14
Wine bottler	\$2,000.00	IC 7.1-4-4.1-14
Direct wine seller (shipping no more than 9,000 liters per year)	\$100.00	IC 7.1-3-26-8(b)(1)
Direct wine seller (shipping no more than 18,000 liters per year)	\$200.00	IC 7.1-3-26-8(b)(2)
Direct wine seller (shipping no more than 27,000 liters per year)	\$300.00	IC 7.1-3-26-8(b)(3)
Direct wine seller (shipping no more than 36,000 liters per year)	\$400.00	IC 7.1-3-26-8(b)(4)
Direct wine seller (shipping no more than 45,000 liters per year)	\$500.00	IC 7.1-3-26-8(b)(5)
Farm winery satellite	N/A	IC 7.1-3-12-5(b)

For more information regarding fees for other permit types, please contact the Alcohol and Tobacco Commission at (317) 232-2430.