

For Official Use Only
License Fee Paid
Date Received
Reviewed By
Date Entered

INSTRUCTIONS: 1.

- This Form must be submitted by applicants seeking to become a Sports Wagering Registrant.
- Applicants must submit an Inquiry Form (<a href="https://appengine.egov.com/apps/in/iqc/gaming-entity-inquiry">https://appengine.egov.com/apps/in/iqc/gaming-entity-inquiry</a>). This form is used to determine multiple license types, including Registrants.
- 3. An applicant for a Sports Wagering Registrant is seeking a privilege. The burden of establishing the qualifications to receive such a certificate of registration is at all times on the applicant. The applicant must accept any risk of adverse public notice, embarrassment, criticism, or other action, or financial loss which may result from action with respect to an application, or public disclosure of information requested in this form, and expressly waives any claim for damages as a result thereof. Information not called for in this form or in addition to that provided in response to this form may be requested. The applicant shall provide all information, documents, materials and certifications at the applicant's sole expense and cost.
- The applicant should respond to the questions contained herein to the best of his/her knowledge. Any misrepresentation or omission is grounds for application denial.
- 5. You may be required to provide additional information or submit additional forms.
- 6. Notary pages may be completed via in-person or remote notary as long as the remote notary complies with the standards found in IC 33-42-17
- 7. The applicant is under a continuing duty to disclose promptly any changes in the information provided in the application and requested materials submitted to the Commission. The duty to make such additional disclosures shall continue throughout any period of registration granted by the Commission.
- 8. Applicant must submit a non-refundable application fee of five hundred dollars (\$500) to the Commission. The Indiana Gaming Commission is unable to accept wired payments. Payments can be made via check (made out to Indiana Gaming Commission), Cashier's Check, Money Order, Bank Draft, or via the online payment system (<a href="https://www.paylocalgov.com/gaming-in/">https://www.paylocalgov.com/gaming-in/</a>). For online payment, select Sports Wagering Division for Department and Registrant Fee as payment type. On the following page, enter all required information.
- 9. Submit one (1) electronic version of this application and all exhibits on a CD or USB flash drive to the IGC office, or via secure file share to swapps@igc.in.gov. Indiana Gaming Commission, Legal Division, 101 W. Washington Street, East Tower, Suite 1600, Indianapolis, Indiana 46204.

Legal Company Name or if Sole Proprietorship for	all legal name (Last Name	me, First Name, Middle	(2 Name) Doing Business	s As (d/b/a) Name (if applicable)
Business address (number and street)			L	
City	State / Province	ZIP / Postal code	Country	Business telephone number
Federal Tax Identification number		State	Tax Identification number	r (if applicable)
Registered agent (if applicable)		l .		
Registered agent's business address (if applicable	e, please provide numbe	er and street)		
City	State	ZIP code	Business to	elephone number
Licensing Contact E-mail address (required; mud	ltiple contact addresses	s can be provided.)	1	
Other gaming jurisdiction(s) where licensed, regi	istered, or conducts bus	siness		
Payment Confirmation Number (if using online p	payment system)			

## Please attach the following exhibits:

- 1. Ownership chart containing full name and ownership percentage of all parent, subsidiary, sister companies, or affiliates of the applicant.
- 2. Detailed organizational charts for the applicant that illustrate the operations and the identity of the Persons responsible for performing the various operations.
- 3. A description of the services applicant will provide along with a copy of the contract or LOI for the entities that you will provide such services.

## **Payment Processors - Please attach the additional exhibit(s):**

- 4. Description of validation checks that payment processor will perform to verify the identity of customer.
- 5. List any product lines that will be utilized by the entities you are contracted with in Exhibit 3.

## RELEASE OF ALL CLAIMS

The undersigned has filed with the Indiana Gaming Commission ("Commission") certain forms and documents in connection with a written request for registration by the Commission ("Application"). In consideration of the assurance by the Commission, a determination of suitability of the undersigned will be made following the completion of a deliberate, intensive and thorough investigation of the undersigned, including but not limited to background, associates, and finances, the undersigned does for myself, my heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the State of Indiana, the Commission, its members, agents, and employees, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known or unknown, in law or equity, which the undersigned ever had, now has, may have, or claim to have against any or all of said entities or individuals arising out of or by reason of the processing or investigation of or other action relating to the application.

I, the undersigned, have read this release and understand all its terms. I execute it voluntarily and with full

	REOF, I have executed thi	(0	City)
	_, on the	_day of	, 20
(State)			
		Individual's Sign	ature
		marviduai s Sign	ature
	rsigned, a Notary Public in _and acknowl	and for said County a	
ary act and deed.	and acknowl	and for said County a edged the execution of	nd State, personally app the foregoing instrume
tary act and deed.		and for said County a edged the execution of	nd State, personally app the foregoing instrume
tary act and deed.	and acknowl	and for said County a edged the execution of	nd State, personally app the foregoing instrume , 20
tary act and deed.	and acknowl	and for said County and edged the execution ofday of	nd State, personally app the foregoing instrume , 20

## VERIFICATION

County of residence:

State o	of	)
County	y of _	) )SS )
state:	Ι,	, being first duly sworn upon oath or affirmation, depose and
	1.	I am the individual who is submitting this form.
	2.	I personally supplied the information contained in this form.
	3.	I swear (or affirm) that the information contained in this form is true, complete, and accurate to the best of my knowledge and belief.
Individ	dual's	Signature:
Dated	(mont	th, day, year):
	Befo	ore me, the undersigned, a Notary Public in and for said County and State, personally appeared and acknowledged the execution of the foregoing instrument at his/her
volunt	ary ac	and acknowledged the execution of the foregoing instrument at his/her and deed.
	WIT	NESS, my hand and Notarial Seal, thisday of, 20
		Notary Public, Written Signature
		Notary Public, Printed Name
Му со	mmis	sion expires (month, day, year):