



APPLICATION FOR VOTING SYSTEM CERTIFICATION

(IEC-11)

State Form 45487 (R7 / 5-21)

Indiana Election Division (IC 3-11-7-1, 3-11-7-19, 3-11-7.5-28, 3-11-15-7)

NOTE: This application is valid for one (1) year after the date that the application is filed with the Election Division and for any additional time that the Indiana Election Commission considers necessary to act upon the application (IC 3-11-15-8). An applicant must vigorously and continuously seek approval of an application by promptly responding to inquiries from the Indiana Election Commission and the Indiana Election Division. The Commission may, following a hearing under IC 4-21.5, dismiss an application if the Commission determines that the applicant has not complied with this requirement (IC 3-11-15-9).

This application is for the: *(Check appropriate box.)*

- Certification of a new voting system
- Certification of a modification to a certified voting system
- Renewal of previously certified voting system

APPLICANT INFORMATION

Name of Vendor		Telephone Number
Address of Vendor <i>(Number, Street or Rural Route)</i>		Fax Number
City, State, ZIP Code		E-mail Address
Name of contact person for the vendor		Contact Person's Telephone Number
Address of contact person for the vendor <i>(Number, Street or Rural Route)</i>		City, State, ZIP Code
Type of voting system <input type="checkbox"/> Direct Record Electronic (DRE) <input type="checkbox"/> Other <i>(specify)</i> _____ <input type="checkbox"/> Optical Scan Ballot Card Voting System		Model Name and Number

General description of system or component:

Hardware Version Number(s):

Firmware Version Number(s):

Software Version Number(s):

↓ Please complete reverse of form ↓

This application must include the following: *(Please check the box if material is included with this application or indicate N/A, if not applicable. If not included with this application, material must be supplied before the application will be presented to the Indiana Election Division.)*

- 1. Technical documentation including:
 - Photographs of the system; functional description of software components
 - Engineering drawings
 - User manuals
 - Operator and system manuals
 - Troubleshooting and training manuals
 - Fail-safe and emergency backup information
 - Equipment reliability estimate
 - Environmental requirements for storage, transportation, and operation
 - Software verification steps and hash values of the system and components being certified
 - A list of all batteries used in voting systems and peripherals to include each battery's life span and maintenance
 - A log documenting installation or replacement of each battery
 - A schedule for replacement of each battery not later than thirty (30) days before the end of its expected life span
 - Plans to test batteries before each election and emergency replacement plans
 - Documentation regarding the satisfactory performance of the voting system equipment
 - Schematics or flowcharts identifying software and data file relationships
- 2. Executable image and source code escrowed with the Indiana Election Division or its approved agent
- 3. Type of maintenance offered by vendor
- 4. Names, addresses, and telephone numbers of maintenance providers
- 5. Description of training courses *(including both on-and off-site offerings)*
- 6. A statement of the current and future interchangeability of all subcomponents
- 7. Documentation from all independent test authorities which examined this system
- 8. Documentation from election jurisdictions having previously granted certification to the system or component
- 9. An application fee in the amount specified by IC 3-11-15-4 (\$5,000 made out to the "State of Indiana")

OATH OR AFFIRMATION

In making application for the certification of the voting system or component listed above, I swear or affirm under the penalty for perjury that to the best of my knowledge and belief all the information contained in this application is true. I agree to reimburse the State of Indiana for all costs incurred by the Indiana Election Division in evaluating the system. I further affirm that I have complied with, and will continue to comply with, the background check requirements of IC 3-11-15-45(b) following certification of the system.

Signature	Title	Date <i>(month, day, year)</i>
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